Donald W. Rose, Esq. Donald Rose Dispute Resolution

Current Employer-Title Donald Rose Dispute Resolution LLC - Sole Member

Profession Attorney

Work History Sole Member, Donald Rose Dispute Resolution LLC, 2020-Present; Principal &

Deputy General Counsel-Litigation & Regulatory Matters, 2006-2019 and Associate General Counsel, 1993-2006, KPMG LLP; Litigation Associate,

Chadbourne & Parke, 1982-1993.

Consumer Experience Experience litigating and resolving accounting, tax, audit and consulting

malpractice claims, as well as unfair business competition/business tort claims asserted by individual and corporate clients, federal and state securities class and mass action claims arising from audit or accounting services, including state law claims for unfair and deceptive trade practices or acts. Experience with data privacy and authorized use issues relating to handling of client-provided information, and with protection of intellectual property and trademarks.

Supervised response to multi-state governmental investigations into 911 services tax surcharge filings; various investigations and audits into state sales tax compliance and compliance with trade association dues programs; and experience addressing compliance with state professional licensing and practice laws, rules

and regulations.

Alternative Dispute Resolution Experience

Thirty-seven years of litigation and ADR experience, the last 26 years of which was as in-house litigation counsel at KPMG LLP, a national, multi-disciplinary, professional services firm, with responsibility for resolving dozens of commercial contract and professional services liability (accounting, audit, tax and consulting) claims, government contracting investigations and qui tam claims and partnership rights/employment discrimination disputes through direct negotiations, mediations and arbitrations, and administrative and jury trials. As Associate General Counsel, managed and tried cases to jury verdicts, tried a two-week SEC ALJ trial and defended subsequent appeals, and tried a five-week arbitration to determine the settlement amount in a large civil case. As Deputy General Counsel, actively supervised the litigation of another dozen arbitrations through awards and subsequent court challenges, including proceedings involving cross-border discovery issues; consolidated derivative claims by Madoff feeder fund investors; a negligence claim challenging an award made by Independent Accountants in US and Argentina setting post-closing adjustments due each party under an asset sale agreement; and six proceedings involving partner rights and business competition claims. Experience litigating the enforceability of arbitration clauses, including regarding the application of such clauses to claims by non-signatories and enforceability of delegation clauses. Arbitrations most often involved claims of professional malpractice, including audit liability, actuarial services and consulting services. Amounts in issue in the arbitrations ranged from US \$100,000 to US \$1.3 Billion and involved complex legal and evidentiary issues.

Donald W. Rose, Esq. Neutral ID: 5394094 Extensive experience mediating and settling commercial and professional negligence claims, including numerous securities class actions; a \$300+ million audit negligence claim settled prior to commencement of litigation; and parallel nationwide client class and federal qui tam actions alleging fraudulent billing of travel expenses over a 10-year period.

Alternative Dispute Resolution Training

Arbitration Fundamentals and Best Practices for AAA Consumer Arbitrators, 2021; Accelerated Route to Fellowship in International Arbitration conducted by jointly by the Chartered Institute of Arbitrators (CIArb) and IICPR; the Mediator Skills Training Program of the Centre for Effective Dispute Resolution (CEDR) (48 Hrs); Basic and Advanced Commercial Mediation Trainings approved under Part 146 by the New York Unified Court's Office of ADR Programs (40 Hrs); numerous ADR training and CLE programs.

Professional Associations

Chartered Institute of Arbitrators - Fellow, North American Branch, New York Chapter; Centre for Effective Dispute Resolution (CEDR) - Accredited Mediator; International Institute for Conflict Prevention and Resolution (CPR) - Panel of Distinguished Neutrals and Member, Arbitration, Mediation and Dispute Prevention Committees; New York State Bar Association – Dispute Resolution Section – Domestic Arbitration and Ethics Committees.

Professional Licenses

Admitted to the Bar: New York, First Appellate Department (1983); U.S. District Court: Southern and Eastern Districts of New York (1984); U.S. Court of Appeals: Second Circuit (1990); U.S. Supreme Court (1991).

Education

Columbia University School of Law (JD - 1982); University of Rochester (BA - 1979).

Locations Where Parties Will Not be Charged for Travel Expenses

New York City metropolitan area

Citizenship

United States of America

Languages

English

Locale

Pelham, New York, United States of America

Compensation

Cancellation Period: 0 Days

Comment:

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